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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/785,548
	Filing Date	Feb. 20, 2001
	First Named Inventor	Koutnikova
	Group Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	ST00005

ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached
<input checked="" type="checkbox"/> Amendment / Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input checked="" type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)
<input checked="" type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input checked="" type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):

See remarks |
|---|---|--|

Remarks

Certified English translation; Executed Declaration; Clean Copy of Application; Sequence Listing and Disc; Drawings and Petition for Color Drawings; Preliminary Amendment

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	David J. Kulik, Wiley Rein & Fielding, LLP
Signature	
Date	October 31, 2001

CERTIFICATE OF MAILINGI hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	
Signature	
Date	

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/785,548
Filing Date	02/20/2001
First Named Inventor	Koutnikova
Examiner Name	
Group Art Unit	
Attorney Docket No.	ST00005

TOTAL AMOUNT OF PAYMENT (\$) 2768.00

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-1129

Deposit Account Name: David J. Kulik

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 740.00

2. EXTRA CLAIM FEES

Total Claims: 31 -20** = 11 X Fee from below 18.00 = 198.00

Independent Claims: 3 -3** = 0 X =

Multiple Dependent: X =

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 198.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	130.00
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	1440.00
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	130.00
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1830.00

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	David J. Kulik	Registration No. (Attorney/Agent)	36,576
Signature		Telephone	202.719.7000
		Date	10/31/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



CERTIFICATION OF TRANSLATION

The undersigned, Jessica T. Abreu, whose address is 2334 N. Van Buren Court, Arlington, VA 22205-1939, USA, declares and states as follows:

I am well acquainted with the English and French languages; I have in the past translated numerous French documents of legal and/or technical content into English; and I am certified by Georgetown University and accredited by the American Translators Association in French into English translation;

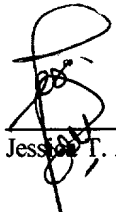
I have been asked to translate and/or review Figures 1 to 10 of a document entitled: Compositions which can be Used for Regulating the Activity of Parkin.

I hereby declare that the attached translation of the document referenced above is, to the best of my knowledge and ability, a true and accurate translation of the original French document.

And I declare further that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, and that falsification of these statements and the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

I therefore attach my Certification of Translation to the English translation of this document.

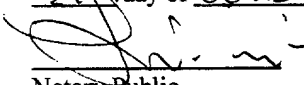
October 29, 2001
Date



Jessica T. Abreu

City/County of Arlington
Commonwealth of Virginia

The foregoing instrument was subscribed and sworn to before me on this
29th day of October 2001 by



Notary Public

My commission expires on: September 30, 2002 ~~My commission expires~~



PATENT
Atty Docket ST00005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hana KOUTNIKOVA *et al.*,

Appl. No.: 09/785,548

Filed: February 20, 2001

For: COMPOSITIONS WHICH CAN BE USED
FOR REGULATING THE ACTIVITY OF PARKIN

Group Art Unit: To be assigned

Examiner: To be assigned

Commissioner for Patents
Washington, D.C. 200231

Sir:

**SUBMISSION OF FORMAL DRAWING
AND PETITION TO ENTER COLOR DRAWINGS**

In response to the Notice to File Missing Parts dated May 2, 2001, applicants submit herewith corrected, substitute drawings complying with 37 C.F.R §§ 1.84 and 1.85 (Figs. 1-7, 8A-1, 8A-2, 8A-3, 8B-1, 8B-2, 8B-3, 9, and 10). Applicants also petition for entry of color Figures 8A-1, 8A-2, 8A-3, 8B-1, 8B-2, 8B-3.

The original drawings have been translated into English and a certified translation of them is filed on even date in this application. The Formal Drawings incorporate the English text. The Formal Drawings also correct the marked-up text noted in the Notice to File Missing Parts. Applicants respectfully request that these Formal Drawings be entered into the application. No new matter enters with these corrected Drawings.

The color Figures are submitted in compliance with 37 C.F.R. § 1.83 and are photographs, where color images show the detail of subject best. Three copies of each color figure are submitted. The petition fee of \$ 130.00 is submitted in the attached Fee Transmittal and check.

11/02/2001 AWONDAFI 0000100 07785548

03 FD:12E

130.00 DP

If there are any fees or petitions required with this paper or to enter the Drawings, applicants respectfully petition the Commissioner and the Commissioner is hereby authorized to take any required fess from Deposit Account no. 50-1129.

Respectfully submitted,
WILEY REIN & FIELDING LLP

Date: October 31, 2001

By:


David J. Kulik Reg. No. 36,576

WILEY REIN & FIELDING LLP
Intellectual Property Department
1776 K Street, NW
Washington, D.C. 20006
Tel: (202) 719.7000
Fax: (202) 719.7049

09/785,548 ST00005



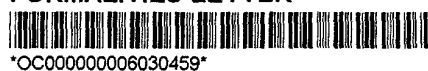
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/785,548	02/20/2001	Hana Koutnikova	ST00005

CONFIRMATION NO. 5202

FORMALITIES LETTER



OC000000006030459

26118

BROBECK, PHLEGER & HARRISON, LLP
ATTN: INTELLECTUAL PROPERTY DEPARTMENT
1333 H STREET, N.W. SUITE 800
WASHINGTON, DC 20005

Date Mailed: 05/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/02/2001 AM00DAF1 00000005 0073540

01 FC:001	740.00 EP	FILED UNDER 37 CFR 1.53(b)	12/11/2001 YGIZAW	00000002 501123	09785540
02 FC:005	120.10 EP				
03 FC:003	130.10 EP				
04 FC:005	130.10 EP				
		Filing Date Granted	01 FC:102	84.00 CH	

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence

listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00706549 4 0340 10706549



PATENT
Atty Docket ST00005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hana KOUTNIKOVA *et al.*,

Appl. No.: 09/785,548

Filed: February 20, 2001

For: COMPOSITIONS WHICH CAN BE USED
FOR REGULATING THE ACTIVITY OF PARKIN

Group Art Unit: To be assigned

Examiner: To be assigned

Commissioner for Patents
Washington, D.C. 200231

Sir:

**SUBMISSION OF SEQUENCE LISTING AND STATEMENT TO SUPPORT FILING
AND SUBMISSION IN ACCORDANCE WITH 37 CFR §§ 1.821-1.825**

In connection with the Sequence Listing submitted herewith, the undersigned hereby states that:

the submission, filed herewith in accordance with 37 C.F.R. § 1.821(g), does not include new matter; and


the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same.

If there are any fees or petitions required with this paper or to enter the Sequence Listing, applicants respectfully petition the Commissioner and the Commissioner is hereby authorized to take any required fess from Deposit Account no. 50-1129.

Respectfully submitted,
WILEY REIN & FIELDING LLP

Date: October 31, 2001

By:


David J. Kulik Reg. No. 36,576

WILEY REIN & FIELDING LLP
Intellectual Property Department
1776 K Street, NW
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